

REC'd PCT/PTC 23 FEB 2005
PCT/CA 03/ 01295

17 SEPTEMBER 2003 17-09-03



Office de la propriété
intellectuelle
du Canada

Un organisme
d'Industrie Canada

Canadian
Intellectual Property
Office

An Agency of
Industry Canada

REC'D 02 OCT 2003

WIPO PCT

*Bureau canadien
des brevets*
Certification

*Canadian Patent
Office*
Certification

La présente atteste que les documents
ci-joints, dont la liste figure ci-dessous,
sont des copies authentiques des docu-
ments déposés au Bureau des brevets.

This is to certify that the documents
attached hereto and identified below are
true copies of the documents on file in
the Patent Office.

Specification and Drawings, as originally filed, with Application for Patent Serial No:
2,399,673, on August 23, 2002, by ALBERTA RESEARCH COUNCIL INC., assignee of
Gary Kovacik, Lewis Fraas and Chris Astle, for "Thermophotovoltaic Device".

**PRIORITY
DOCUMENT**

SUBMITTED OR TRANSMITTED IN
COMPLIANCE WITH RULE 17.1(a) OR (b)

Tracy Paulsen
Agent certificateur/Certifying Officer

September 17, 2003

Date

Canada

BEST AVAILABLE COPY

(CIPO 68)
04-09-02

OPIC



CIPO

ABSTRACT OF THE DISCLOSURE

A thermophotovoltaic device includes an energy source compatible with thermophotovoltaic cells and thermophotovoltaic cells. A dielectric filter, adapted to filter mid-wavelength energy, is positioned between the energy source and the thermophotovoltaic cells. A quartz glass tube filter, adapted to recycle long wavelength energy, is positioned between the energy source and the thermophotovoltaic cells. The glass tube filter has dual glass tubes with a space therebetween. The space is evacuated to break the convection heat transfer path from the energy source to the thermophotovoltaic cells.

TITLE OF THE INVENTION:

Thermophotovoltaic Device

FIELD OF THE INVENTION

5 The present invention relates to a thermophotovoltaic device.

BACKGROUND OF THE INVENTION

10 U.S. Patent 5,403,405 (Fraas et al 1995), U.S. Patent 5,551,992 (Fraas 1996), U.S. Patent 5,753,050 (Charache et al 1998) are examples of thermophotovoltaic devices.

15 A problem experienced with thermophotovoltaic devices is that only a fraction of the energy generated can be used by the photovoltaic cells. Long wavelength energy can not be used by the photovoltaic cells and can increase cell temperature.

20 **SUMMARY OF THE INVENTION**

What is required is a thermophotovoltaic device which is less susceptible to the detrimental effects of long wavelength energy.

25 According to the present invention there is provided a thermophotovoltaic device which includes an energy source compatible with thermophotovoltaic cells and thermophotovoltaic cells. A dielectric filter, adapted to filter mid-wavelength energy, is positioned between the
30 energy source and the thermophotovoltaic cells. A quartz glass tube filter, adapted to recycle long wavelength energy, is positioned between the energy source and the thermophotovoltaic cells. The glass tube filter has dual glass tubes with a space therebetween. The space is
35 evacuated to break the convection heat transfer path from the energy source to the thermophotovoltaic cells.

The thermophotovoltaic device, as described above, includes a simple and inexpensive infrared filter and thermal insulator to dramatically improve efficiency by reducing energy losses.

5

BRIEF DESCRIPTION OF THE DRAWINGS

These and other features of the invention will become more apparent from the following description in which reference is made to the appended drawings, the drawings are
10 for the purpose of illustration only and are not intended to in any way limit the scope of the invention to the particular embodiment or embodiments shown, wherein:

FIGURE 1 is a simplified block diagram of a thermophotovoltaic system.

15

FIGURE 2 is a side elevation view of components for a thermophotovoltaic device constructed in accordance with the teachings of the present invention.

FIGURE 3 is a side elevation view, in section, of a thermophotovoltaic device constructed in accordance with the
20 teachings of the present invention.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

The preferred embodiment, a thermophotovoltaic device will now be described with reference to **FIGURES 1** through **3**.

DESCRIPTION OF THE INVENTION

Background

TPV systems consist of a heat source above about 1300 K, coupled with a broadband or selective emitter, thermophotovoltaic converter cells with or without a filter/reflector, and a cooling and heat recuperation system. Some attractions of this technology are:

- **High power densities** – 1-2 W/cm² are reported in prototype systems. Mature systems expected to be on the order of 5 W/cm².
- **Quiet Operation** – TPV conversion uses no moving parts (except cooling or combustion air fans in some designs) and can be expected to be essentially silent. This feature makes it attractive for military applications and recreational use.
- **Low Maintenance** – due to lack of moving parts maintenance requirements will be minimal.
- **Cogeneration** – for high efficiency, TPV systems must include a heat recovery system as a part of cell cooling and to preheat fuel and air before combustion. TPV devices are an excellent candidate for combined heat and power applications.
- **Versatility** – TPV systems may be fuelled by almost any combustible material, although the burner must be designed for that particular fuel in order to maintain high efficiency.
- **Low emissions** – are possible with well-designed burner/fuel selection.

A simplified TPV system schematic is shown in Figure 1.

Typical TPV units can include some or all of the following subsystems:

1. **Heat source** – a burner for efficient combustion of the fuel, be it liquid or gaseous, hydrocarbon, or even biomass. The burner design for TPV is not trivial due to relatively low firing rates, high operating temperatures, small size, uniform temperature distribution and high efficiency requirements. The burner may also have means of recirculating exhaust gases in order to preheat fuel and combustion air to increase combustion efficiency.

2. **Emitter** – an IR radiation source (heated by the combustion) operating in the temperature range of 1300 K to 1800 K. Temperatures below this can lead to low power densities and low electrical output, while operation above the maximum is not practical due to cost of high temperature materials and problems with cell cooling. The emitter material must have mechanical strength at the operating temperature, high emissivity and tolerance for thermal cycling. There are generally two types of radiators used:

- **Broadband emitters** – basically a black body, behaving according to Planck radiation law, where radiation extends across a wide wavelength range. Only a fraction of energy (dependent on temperature) is radiated below 2.5 μm (equivalent to energy bandgap of 0.5 eV) and can be used effectively by photovoltaic cell. The remaining long wave energy (photons) is not used by the cells and can increase cell temperature. Ideally this energy is recycled back to the radiator or used to preheat the inlet fuel and air. The most commonly used broadband emitter material is silicon carbide (SiC). SiC is an excellent infrared emitter material with high emissivity, good thermal conductivity and relatively good thermal shock resistance. At a temperature of 1800 K silicon

- carbide has a radiation emission peak between 1.4 and 1.6 μm .
 - **Selective emitters** - certain rare earth oxides (ytterbium, erbium, holmium) radiate in a fairly narrow band of wavelengths. The major disadvantages of these emitters are low power density due to very narrow emission bandwidths and low average peak emittance. A solution to these problems would be to increase emitter temperature, but this leads to shorter material life and lower fuel to radiant power conversion efficiency. There is also significant radiation of wavelengths longer than 3 μm and an IR filter should be used to reflect these low energy level photons back to the emitter. Variations of selective emitter design include:
 - **matched emitters** consisting of ceramic matrix composites with a refractory oxide (such as alumina, magnesia oxide or spinel) doped with a d-series transition element. Relatively broad IR emission spectrum in the range 1.0 to 1.7 μm has been reported. This is easier to match with usable bandwidth of GaSb TPV cells. Another type of selective emitter uses a microstructured tungsten surface with low emittance in the region above 2 μm . Tungsten is very stable at high temperatures in a vacuum, but oxidizes in air so it is necessary to operate this type of emitter in vacuum or in inert gas atmospheres.
 - **multiband emitters** built as a combination of two rare oxides, such as $\text{Er}_2\text{O}_3/\text{Ho}_2\text{O}_3$ and $\text{Er}_2\text{O}_3/\text{Yb}_2\text{O}_3$ resulting in multiple peak spectrum radiation. One of the manufacturing methods for these emitters is a thermal plasma spray of a thin film onto various substrates (SiC or suitable ceramic oxide with reflective metal backing or reflective metal layer deposited on front of oxide substrate).
- 3. IR filter** – for optimum system efficiency, the incident radiation should match the recombination spectrum of the photocell material. Excess energy should be reflected back to the emitter and preferably reabsorbed. To achieve this, single or multiple filters are placed between the emitter and the TPV cells. They may be integrated with the TPV cell assembly. There are a number of different filter designs:
- **Interference or mesh filters** similar to those used for microwave frequencies. Generally the dimensions of the array elements are a fraction of a wavelength, requiring resolution less than 0.2 μm . The state of the art conventional lithography is now about 0.1 μm feature size. This allows mass manufacturing of the filter at costs probably lower than a dielectric stack. The mesh filters use Au as a base metal deposited on a dielectric substrate and as such have good IR reflectivity (>95%) at wavelengths longer than 2 μm .
 - **Multilayer dielectric filters** are based on interference effects, using multiple layers of dielectric films with varying refraction coefficients and different thicknesses. Dielectric films have minimal losses and it is possible to manufacture a filter with specific performance by increasing the number of layers.
- 4. TPV cells** are narrow bandgap (0.5 to 0.7 eV) III-V semiconductor diodes that convert photons radiated from a thermal radiation source (at temperatures below 2000K) into electricity. Photons with energy greater than the semiconductor bandgap excite electrons from the valence band to the conduction band. The created electron-hole pairs are then collected by metal electrodes and can be utilized to power external loads.

Basis of Invention

The basis of the invention described here is an improved filter system to recycle a large fraction of the longer wavelength energy to the emitter while reducing the convective heat transfer from the emitter

to the TPV cells. The concept is to combine dielectric filters (as described above) that are positioned directly on or in front of the TPV cell arrays with a dual quartz glass tube filter with the space between the quartz tubes evacuated to break the convection path. The dielectric filters provide recycling of mid-wavelength energy (up to about 3.5 micron wavelength) while the quartz glass recycles the longer wavelengths and the addition of the vacuum layer breaks the convection heat transfer path from the emitter to the cell arrays. This arrangement should provide a simple and inexpensive method of improving TPV system efficiency by reducing energy losses.

A sketch of the basic components of the TPV system as conceived is given in Figure 2. Figure 3 shows a cut-away view of the assembled system.

Estimated Efficiency of Spectral Control System

Use WS radiant tube burner with double wall GE 214 low OH fused silica thermos to reduce long wavelength IR by one third via $1/(n+1)$ heat shield formula (with $n=2$ and assuming near planar geometry). Also use dielectric filters from JXC for mid wavelength band spectral control.

Given an energy rate transfer budget of 7 W/cm², we make the following efficiency calculation.

Assume emitter temperature of 1100 C or 1373 K.

Total Black Body power = 20.15 W/cm².

% power from Black Body for wavelength < 1.8 microns = 15%.

% power from Black Body between 1.8 and 3.6 microns = 48%

% power from BB for wavelengths longer than 3.6 microns = 37%

Power to receiver from various bands:

Less than 1.8 microns = 15% x 20.15 = 3.02 W/cm²

Between 1.8 to 3.6 microns = 10% x 48% x 20.15 = 0.97 W/cm²

(assumes 90% dielectric filter recycling)

Greater than 3.6 microns = 33% x 37% x 20.15 = 2.46 W/cm²

Total net power transferred from emitter = 6.45 W/cm²

Spectral efficiency = 3.02/6.45 = 47%

System electrical efficiency = 75% x 30% x 47% = 10.6%

Where 75% is chemical to radiation efficiency

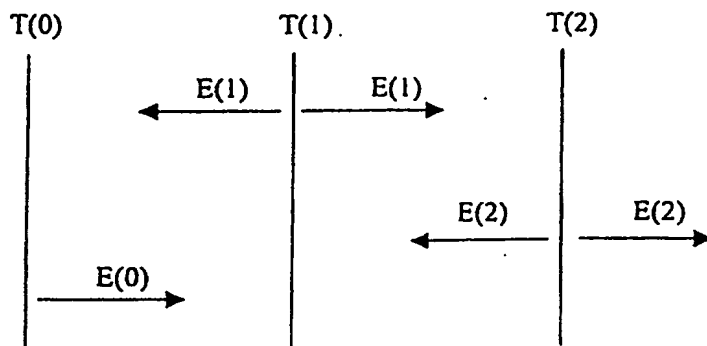
And 30% is PV cell conversion efficiency.

Assume 80 mm diameter emitter and 250 mm long cell array,

Then emitter area will be $3.14 \times 8 \times 25 = 628 \text{ cm}^2$.

Given 1 W(electric) /cm², potential electrical output could be 600 W. This corresponds to a 6 kW(thermal) burner which is in the operating range of the WS C80/800 burner.

The benefit of the evacuated quartz tube (in addition to long wave recycling) is that it will reduce convective heat transfer from the emitter to the cell arrays as demonstrated in the calculations below.



Calculate quartz shield temperatures given emitter at 1100 C
 Note that $E(0) + E(2) = 2 E(1)$ and $E(1) = 2 E(2)$
 from the energy balance at each quartz shield.

$$\text{Therefore } E(0) = 4 E(2) - E(2) = 3 E(2)$$

$$\text{Assuming } T(0) = 1100 \text{ C}$$

$$\text{Then } E(0) = 37\% \times 20 \text{ W/cm}^2 = 7.4 \text{ W/cm}^2$$

$$\text{And } E(2) = (1/3) \times 7.4 = 2.47 \text{ W/cm}^2$$

$$\text{Also } [T(2)/T(0)]^4 = 2.47/20 = 0.124$$

$$\text{Therefore } T(2) = 0.593 \times 1373 = 814 \text{ K} = 541 \text{ C}$$

$$\text{And similarly } T(1) = 0.71 T(0) = 969 \text{ K} = 696 \text{ C}$$

Thus, instead of convective/conductive transfer in the air layer between the ~1100 C emitter and the ~30 C cells the quartz tube will transfer heat from the second quartz glass at ~541 C to the ~30 C TPV cells. This could reduce the heat loss through the cells by about 50%

THE EMBODIMENTS OF THE INVENTION IN WHICH AN EXCLUSIVE PROPERTY OR PRIVILEGE IS CLAIMED ARE DEFINED AS FOLLOWS:

- 5 1. A thermophotovoltaic device, comprising:
 - an energy source compatible with thermophotovoltaic cells;
 - thermophotovoltaic cells;
 - a dielectric filter adapted to filter mid-wavelength
energy positioned between the energy source and the
10 thermophotovoltaic cells; and
 - a quartz glass tube filter adapted to recycle long
wavelength energy positioned between the energy source and the
thermophotovoltaic cells, the glass tube filter having dual
glass tubes with a space therebetween, the space being
15 evacuated to break the convection heat transfer path from the
energy source to the thermophotovoltaic cells.

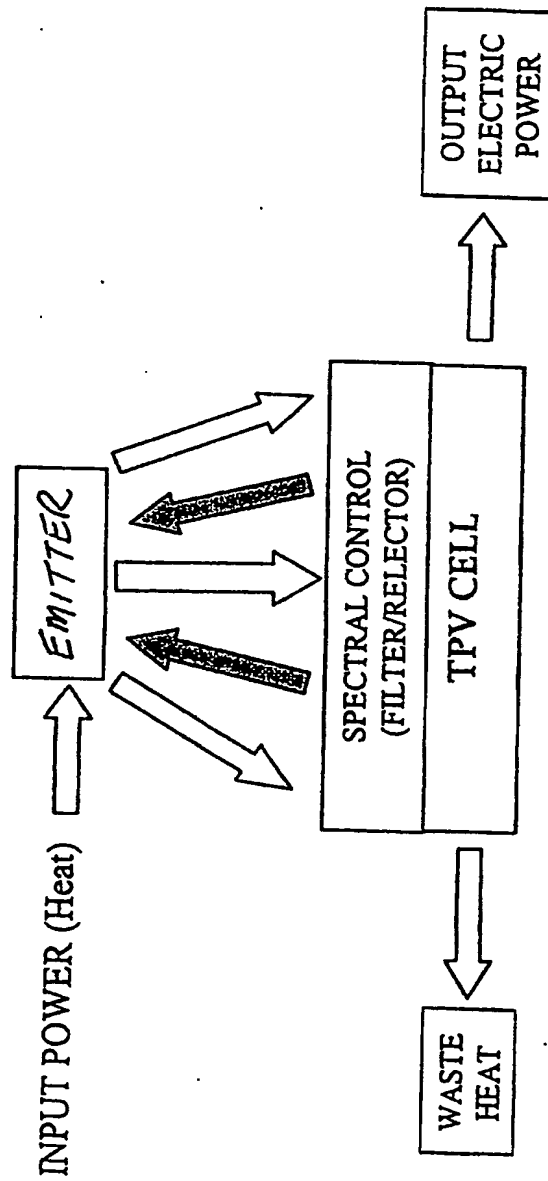


FIG. 1

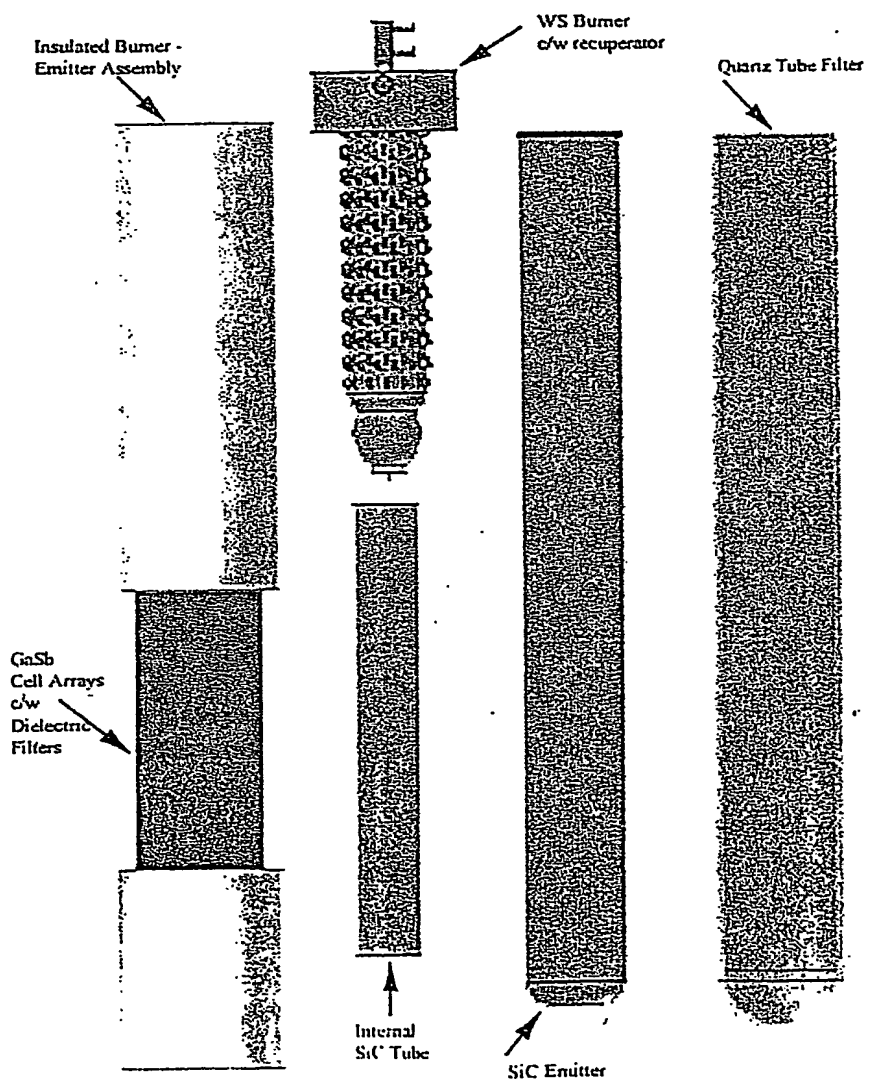


FIG. 2

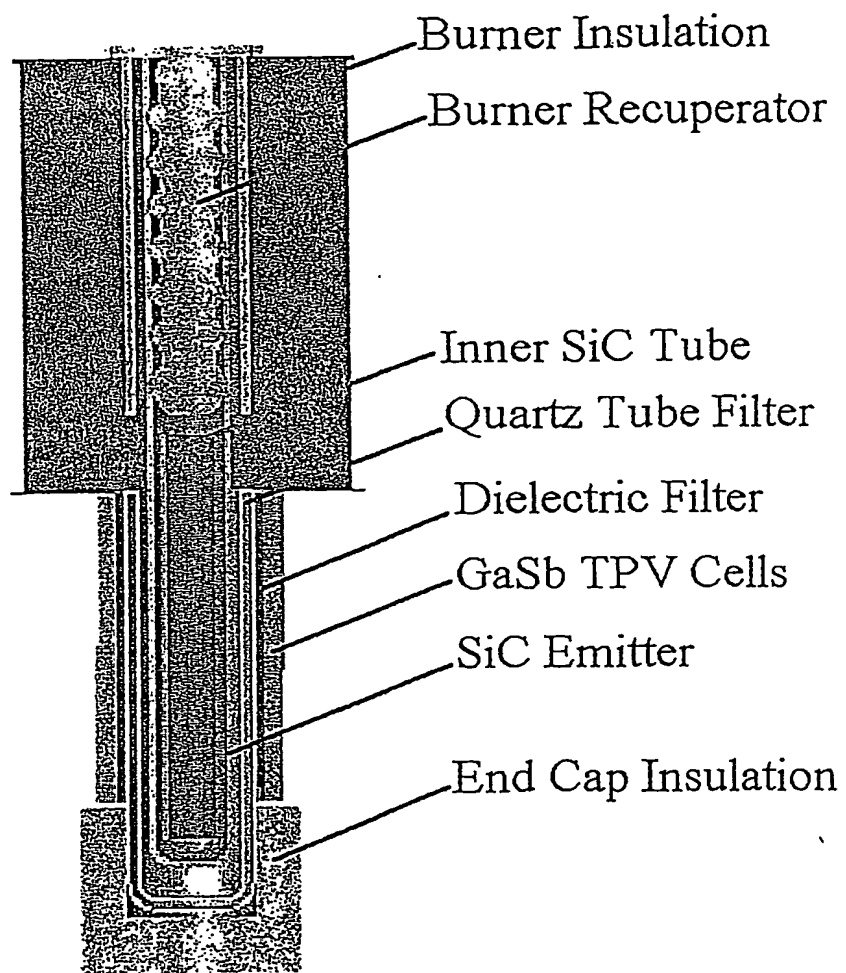


FIG. 3

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☒ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☒ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☒ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.